

SEP 12 2007

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**Birch, Stewart, Kolasch & Birch, LLP**

# Fax

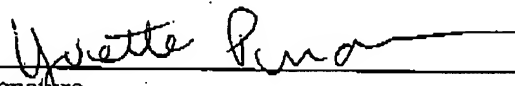
To:	USPTO	From:	BSKB
Fax:	(571) 273-8300	Pages:	11 (including cover sheet)
Application No(s):	10/594,249	Our Ref(s):	0033-1107PUS1
Subject:	Request for a Corrected Official Filing Receipt		

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PATENT  
0033-1107PUS1

## IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): SAITO, Hajime et al. Conf.: 7406  
Appl. No.: 10/594,249 Group: Unknown  
Filed: September 25, 2006 Examiner: Unknown  
For: LIGHT-EMITTING DEVICE

REQUEST FOR A CORRECTED OFFICIAL FILING RECEIPT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SEP 12 2007

Sir:

Attached hereto is the Official Filing Receipt in connection  
with the above-identified application.

THE FOLLOWING CORRECTION(S) IS/ARE RESPECTFULLY REQUESTED:

ASSIGNMENT FOR PUBLISHED PATENT APPLICATION:

Please Add: SHARP KABUSHIKI KAISHA

TANABE, SETSUHISA

KAWAKAMI, YOICHI

FUJITA, SHIZUO

FUNATO, MITSURU

It is respectfully requested that the U.S. Patent and  
Trademark Office forward/issue a new Filing Receipt with the  
correction(s) indicated above. Support for the correction(s) is  
readily apparent on the enclosed photocopy of the Declaration and  
Power of Attorney document and the Notice of Recordation.

Appl. No. 10/594,249

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH &amp; BIRCH, LLP

By   
CHARLES GORENSTEIN, #29,271

CG/yp  
0033-1107PUS1

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Attachment(s)

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**SEP 12 2007**  
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APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/594,249	09/25/2006	2879	900	0033-1107PUS1	10	1

2292  
 BIRCH STEWART KOLASCH & BIRCH  
 PO BOX 747  
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**CONFIRMATION NO. 7406****FILING RECEIPT**

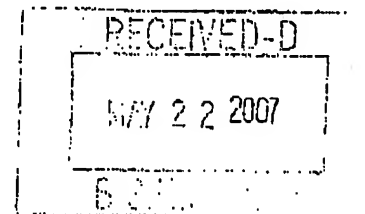
\*OC000000023893571\*

Date Mailed: 05/18/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Hajime Saito, Tenri-shi, JAPAN;  
 Mototaka Taneya, Nara-shi, JAPAN;  
 Takayuki Yuasa, Ikoma-gun, JAPAN;  
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 Yoichiu Kawakami, Kusatsu-shi, JAPAN;  
 Shizuo Fujita, Soraku-gun, JAPAN;  
 Mitsuru Funato, Kyoto-shi, JAPAN;



**Power of Attorney:** The patent practitioners associated with Customer Number **2292**.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP05/05103 03/22/2005

**Foreign Applications**

JAPAN 2004-092961 03/26/2004

**If Required, Foreign Filing License Granted:** 05/16/2007

**The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is** **US10/594,249**

**Projected Publication Date:** 08/23/2007

Non-Publication Request: No

Early Publication Request: No

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## Title

Light-Emitting Device

## Preliminary Class

313

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce Initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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C33-1107P

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 06, 2006

PTAS



\*103317360A\*

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### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/25/2006

REEL/FRAME: 018358/0754  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

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DOC DATE: 09/15/2006

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DOC DATE: 09/15/2006

ASSIGNOR:

YUASA, TAKAYUKI

DOC DATE: 09/15/2006

ASSIGNOR:

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DOC DATE: 09/15/2006

ASSIGNOR:

TANABE, SETSUHISA

DOC DATE: 09/15/2006

ASSIGNOR:

KAWAKAMI, YOICHI

DOC DATE: 09/15/2006

018358/0754 PAGE 2

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FUJITA, SHIZUO

DOC DATE: 09/15/2006

ASSIGNOR:  
FUNATO, MITSURU

DOC DATE: 09/15/2006

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KYOTO-SHI, KYOTO, JAPAN 615-8084

SERIAL NUMBER: 10594249  
PATENT NUMBER:  
TITLE: LIGHT-EMITTING DEVICE

FILING DATE: 9-25-06  
ISSUE DATE:

ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION



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Attorney Docket No.

0033-1107PUS1

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FOR PATENT AND DESIGN APPLICATIONS**

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Insert Title:

LIGHT-EMITTING DEVICE

Fill in Appropriate

Information -  
For Use Without  
Specification  
Attached:

the specification of which is attached hereto. If not attached hereto, the application is identified by the attorney docket number as set forth above and/or the following:

The specification was filed on \_\_\_\_\_

United States Application Number \_\_\_\_\_

and amended on \_\_\_\_\_

the specification was filed on March 22, 2005

(if applicable) and/or

International Application Number PCT/IP2005/005103

as PCT

amended on November 16, 2005

(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representative or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows:

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Insert Priority  
Information:  
(if appropriate)2004-092961(P)  
(Number)Japan  
(Country)March 26, 2004  
(Month/Day/Year Filed)

Priority Claimed

☒ Yes ☐ No

(Number)

(Country)

(Month/Day/Year Filed)

☐ Yes ☐ No

(Number)

(Country)

(Month/Day/Year Filed)

☐ Yes ☐ No

(Number)

(Country)

(Month/Day/Year Filed)

☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below.

Insert Provisional  
Application(s):  
(if any)

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More than 12 Months (6 Months for Designs) Prior to the Filing Date of This Application:

Insert Requested  
Information:  
(if appropriate)

Country

Application Number

Date of Filing (Month/Day/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and/or PCT application(s), including for continuation-in-part application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States and/or PCT application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

Insert Prior U.S.  
Application(s):  
(if any)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

Page 1 of 2  
Rev. 05/2004

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

Attorney Docket No.

I hereby appoint the practitioners at CUSTOMER NO. 02292 as my attorneys or agents to prosecute this application and/or an international application based on this application and to transact all business in the United States Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the practitioners, unless the inventor(s) or assignee provides said practitioners with a written notice to the contrary.

Send Correspondence to:

**CUSTOMER NO. 02292 (BIRCH, STEWART, KOLASCH & BIRCH, LLP)**  
 Telephone: (703) 205-8000 Facsimile: (703) 205-8050

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Full Name of First  
or Sole Inventor  
Insert Name of  
Inventor  
Insert Date This  
Document is Signed

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Full Name of Second  
Inventor, if any:  
see above

Full Name of Third  
Inventor, if any:  
see above

Full Name of Fourth  
Inventor, if any:  
see above

Full Name of Fifth  
Inventor, if any:  
see above

GIVEN NAME/FAMILY NAME Hajime SAITO		INVENTOR'S SIGNATURE <i>Hajime Saito</i>	DATE September 15, 2006
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GIVEN NAME/FAMILY NAME Mototaka TANEYA		INVENTOR'S SIGNATURE <i>Mototaka Taneya</i>	DATE September 15, 2006
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GIVEN NAME/FAMILY NAME Satsuhisa TANABE		INVENTOR'S SIGNATURE <i>Satsuhisa Tanabe</i>	DATE September 15, 2006
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\*DATE OF SIGNATURE

Attorney Docket No.

GIVEN NAME/FAMILY NAME Yoichi KAWAKAMI		INVENTOR'S SIGNATURE <i>Yoichi Kawakami</i>	DATE September 15, 2006
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GIVEN NAME/FAMILY NAME Shizuo FUJITA		INVENTOR'S SIGNATURE <i>Shizuo Fujita</i>	DATE September 15, 2006
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GIVEN NAME/FAMILY NAME Mitsuru FUNATO		INVENTOR'S SIGNATURE <i>Mitsuru Funato</i>	DATE September 15, 2006
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MAILING ADDRESS (Complete Street Address including City, State & Country) 48-3, Katsura Hitsuji-saru-cho, Nishikyo-ku, Kyoto-shi, Kyoto 615-8084 Japan			
GIVEN NAME/FAMILY NAME		INVENTOR'S SIGNATURE	DATE*
Residence (City, State & Country)		CITIZENSHIP	
MAILING ADDRESS (Complete Street Address including City, State & Country)			
GIVEN NAME/FAMILY NAME		INVENTOR'S SIGNATURE	DATE*
Residence (City, State & Country)		CITIZENSHIP	
MAILING ADDRESS (Complete Street Address including City, State & Country)			
GIVEN NAME/FAMILY NAME		INVENTOR'S SIGNATURE	DATE*
Residence (City, State & Country)		CITIZENSHIP	
MAILING ADDRESS (Complete Street Address including City, State & Country)			

\*DATE OF SIGNATURE